

INVESTOR COMPLAINT FORM

(Use separate form for each company or complaint)

(Please select the appropriate item in the Nature of Complaint)

All fields marked (*) are to be mandatorily filled in

Note:

- 1. If your complaint relates to multi-level marketing scheme or a scheme falling under the Price Chit and Money Circulation Schemes (Banning) Act, 1978, including a company carrying on chit fund business, please lodge your complaint with the Ministry of Finance, Department of Economic Affairs, Government of India.**
- 2. If your complaint relates to termination of services, non-payment of salary, terminal benefits etc. (service-related matters), the complaint should be filed with the Ministry of Labour & Employment, Government of India.**
- 3. In case, your complaint / grievance relates to Non-Banking Finance Company (NBFC) or Residuary Non-Banking Company (RNBC) registered with RBI, please lodge your complaint with Reserve Bank of India (RBI).**
- 4. In case, your complaint is against any company having any collective investment scheme, the complaint should be filed with SEBI only.**
- 5. If your complaint is against intermediaries registered with SEBI, such as stock brokers, depository participants, mutual funds, you should file your complaint with SEBI only.**
- 6. If your complaint / grievance relates to issue and transfer of securities and non-payment of dividend in respect of listed companies and also the companies which intend to get their securities listed on any recognized stock exchange, you may file such complaints either with Securities and Exchange Board of India (SEBI) (under the Department of Economic Affairs) or with the Ministry of Corporate Affairs (MCA).**
- 7. In case of other companies, all complaints should be filed with MCA.**
- 8. In case of Chit Fund Companies, complaints be Lodged with the Registrar of Chit Funds of the Concerned State.**
- 9. In case of real estate companies, complaints be lodged with the Ministry of Urban Development and Housing, GOI.**
- 10. If your complaint relates to disputes concerning title of properties or in the nature of commercial disputes, you should take up the matter with the Court/Tribunal of appropriate jurisdiction.**

11. If your complaint relates to misuse of digital signature (resulting in alleged filling of form 32 or form 2 etc.), you should file your complaint with the appropriate police authority, as prescribed in Information Technology Act, 2000.
12. If your complaint relates to deficiencies in products or services of a company, you should file your complaint before the Consumer Court of appropriate jurisdiction or the Ministry of Consumer Affairs, Government of India, Krishi Bhawan, New Delhi.

Dear Sir/Madam,

Kindly take up the matter with the company for immediate redressal of my complaint, particulars of which are as under :

***Complaint against**

- | | |
|---|--|
| <input type="radio"/> Listed company | <input type="radio"/> Unlisted public limited company |
| <input type="radio"/> Private limited company | <input type="radio"/> Unlimited Liability company |
| <input type="radio"/> Section 25 company | <input type="radio"/> Limited Liability Partnership (LLP) |
| <input type="radio"/> Other Companies | |

***Nature of business**

- | | |
|--|---|
| <input type="radio"/> Nidhi company | <input type="radio"/> Chit fund company |
| <input type="radio"/> Housing finance company | <input type="radio"/> Producer company |
| <input type="radio"/> Plantation company | <input type="radio"/> Network marketing/ Multilevel marketing company |
| <input type="radio"/> Gold bonds | <input type="radio"/> Commercial and industrial company |
| <input type="radio"/> Real estate company/ Bonds | <input type="radio"/> Non-Banking Financial Activity |
| <input type="radio"/> Others | |

3. Nature of Complaint

(i) Complaint relating to shares

- Non- receipt of certificate after Conversion
- Non- receipt of certificate after initial allotment
- Non- receipt of certificate after splitting
- Non- receipt of certificate after Endorsement
- Non- receipt of offer for rights shares
- Non- receipt of Dividend warrant /interim dividend warrant
- Non- receipt of certificate after Consolidation
- Non- receipt of Duplicate Share certificate
- Non-receipt of Bonus shares
- Non- receipt of certificate after transfer
- Non- receipt of notice for call money
- Non- receipt of certificate after transmission
- Non- receipt of share application money

(ii) Complaint relating to debentures or bonds

- Non receipt of interest
- Non- receipt of Redemption amount
- Non receipt of certificate after transfer
- Non- receipt of Duplicate debenture/bonds certificate
- Non receipt of certificate after transmission
- Non receipt of certificate after splitting
- Non receipt of certificate after exchange of allotment letter
- Non receipt of certificate after endorsement
- Non receipt of debenture/bonds certificates after initial allotment
- Non receipt of notice for call money
- Non- receipt of interest on delayed payments
- Non- receipt of offer for rights debentures/bonds
- Non- receipt of debenture application money

(iii) Complaint relating to fixed deposits

- Non-receipt of interest
- Non-receipt of matured amount
- Non-receipt of Pre-matured payments
- Non-receipt of interest on delayed payment
- Non-receipt of payment as per Company Law Board (CLB) order

(iv) Miscellaneous Complaints

- Non-receipt of Annual Report
- Non-receipt of registration of change in address
- Any other (specify)
- Non-receipt of interest on delayed refund relating to other securities

(v)*Status of complainant

- Shareholder
- Investor
- Creditor
- Employee
- Deposit Holder
- Others

4. Name and address of investor /other stakeholder

(a) *Name

(b) *Address Line I

Line II

(c) *City

(d) *State (e) *Pin code

(f) *ISO Country Code

(g) *Country

(h) * Phone (i) Fax

(j) * Email ID

5. (a) * Corporate Identity Number (CIN)/
Limited Liability Partnership
Identification Number (LLPIN)

Pre-fill

(b) Global Location Number (GLN) of the company

(c) Name of the company /LLP

(d) Address of the registered office of the company/LLP

6. (a) Particulars of the shares or debenture or fixed deposits or other security (Fill in the details in respect of shares certificates or debenture certificates or fixed deposits receipt or application form or other security

(b) Period in relation to which the complaint relates

(c) Particulars of Complaint

(d) Other details, if any, pertinent to information provided above

List of attachments

7. List of Attachments

1.*Identity proof

Attach

2. Optional attachments, if any

Attach

Remove attachment

IM1) IMAGE

0xZxff

Refresh

IM2) please fill the field with above IMAGE value

Modify

Check Form

Pre-scrutiny

Submit